

Law Letter of Recommendation

I would like to recommend XXX for admission to your law school. I have been teaching the Japanese Criminal Law for the last twenty years at Waseda University. I am also an administration officer of Criminal Law Society of Japan, and a member of the Japanese bar examination committee.

I have well known XXX since he participated in in my seminar of Criminal Law in 2003 at Waseda University's Graduate School of Law. I still remember him as equal to the best student I have ever instructed during my teaching career with very strong academic abilities.

In my seminar, XXX intensively researched corporate legal responsibilities for implementing an effective compliance program. At the first time I saw his research plan, I thought it was too challenging because his intended research straddled multiple areas of the laws and regulations, which were from criminal law to commercial law. Then, I convinced him to focus on only area of criminal law in order to lessen a burden of his research. However, his enthusiasm and commitment for the research were beyond my imagination. Although his major was criminal law, he enthusiastically researched newly-enacted corporate law as well as criminal law and eloquently explained to me the historical backgrounds of its preceding amendments in 2001, 2002, 2003 and 2005, which were related to the corporate governance systems. Moreover, he sorted out from these large volumes of academic studies several important interdisciplinary legal issues such as a director's duty to establish and operate an internal control system. I was really impressed to see how quickly he was able to absorb a tremendous amount of knowledge of various laws and how enthusiastically he researched multiple areas of the laws. Continuing to see the progress of his research, I gradually became to realize that he could complete this challenging research with his great enthusiasm. At last, bringing an end to my expectations, he perfectly completed this challenging research and wrote a thesis entitled, "Corporate Responsibility and Compliance Program", which I regarded as one of the most interesting thesis in Waseda University.

In addition to the academic abilities on Japanese laws, He also demonstrated a high ability to analyzing international laws and regulations. He analyzed various international laws and regulations including the U.S. regulations in order to advance his research by utilizing his strong command of the English language. Six months after he started the research, I got surprised that he referred in his research progress report several important judicial precedents in the U.S. such as In re Caremark International Inc.Derivative Litigation. In this report, he stated that the recent progress of compliance program in Japan was under strong influence of the U.S. regulations. Since I found his abilities to analyze international regulations and keen interests regarding that, I urged him to further study how compliance programs developed in the U.S with details of transition of related judicial precedents. Eventually, he also completed these difficult researches in his thesis with his strong interest in international laws and regulations.

In the thesis defense presentation, XXX successfully made an excellent oral presentation and answered all the questions raised by the law faculty members of the university. He elaborated how the formulation and implementation of effective compliance program affects the scope of corporate legal responsibilities. His thesis were not only based on the analysis of the Japanese laws, but also on detailed analysis of the U.S regulation such as Sarbanes—Oxley Act, United States Organizational Sentencing Guideline as well as relevant judicial precedents including above-mentioned Caremark Case and Dellastatious v. Williams. All of the faculty members were quite impressed by his presentation and elaborate analysis in his thesis because his research can provide Japanese corporations with a legal risk management framework, which can be a practical solution to cope with the newly enacted Commercial Act and Financial Instruments and Exchange Act, so called J-SOX Act. As a result, we awarded him the top grade "A" for his elaborate thesis and his wonderful presentation.

It was a great pleasure for me to hear that XXX had obtained from NTT DOCOMO full sponsorship to an LL.M. program in the U.S.A. I totally believe he deserves it. From my teaching experiences at Waseda University, I am sure that he fully possesses the abilities to study law at an advanced level at your law school. Given his high academic abilities, ambition and challenging spirit for academic research and strong command of the English language, I firmly believe that he can contribute to your professors and students in your LL.M. program. Therefore, I give XXX my highest recommendation for admission to your law school.